

Event Vetting

Government employees, particularly high-level officials like United States Attorneys, are subject to ethical restrictions governing the types of events they may attend. Some restrictions relate to benefits, including luncheons, dinners, awards, and tokens of appreciation they may accept. Other restrictions relate, among other things, to participation in events that are sponsored by persons who do business with or lobby the Government; that have a fundraising component; where it may appear that official attendance is being used to promote the event or the sponsor; or where elected officials may be in attendance. To assist us in vetting compliance with government ethical restrictions, we would appreciate it if you could provide the following information. If you do not have specific information for each response, please provide such general or descriptive information as you currently have. Please do not hesitate to call the Office's Government Ethics Advisor, (b)(6), (b)(7)c at (b)(6), (b)(7)c or e-mail him at (b)(6), (b)(7)c to discuss this.

1. Name, date and time, and location of event. Sikh Centennial Gala. April 23, 2016, Royal Ontario Museum, Toronto, Ontario, Canada
2. Format of event (*e.g.*, cocktails; presentations; speeches; and/or meal). Cocktails, sit-down dinner, keynote address, honouree acceptance speeches, scholarship acceptance speeches, dance.
3. Estimated cost per attendee of event. \$340
4. Are any attendees being charged to attend the event? Yes
 - a. If so, who (in general) is being offered free attendance, and who is expected to pay? Free attendance to keynote speaker, honourees, very few media personnel, volunteers only All others will pay.
5. Name of entity extending the invitation and link to web site (if any). Sikh Foundation of Canada Inc.
 - a. Does the entity extending the invitation engage in lobbying? No
6. Sponsor of event if different from above. As above
 - a. Does the sponsor of the event engage in lobbying? No
7. Names of additional entities that will be recognized for sponsorship or support of the event. Additional sponsors include Scotiabank, Bank of Montreal, Toronto Dominion

Bank, Canadian Imperial Bank of Commerce, Royal Bank of Canada, Deloitte, Ernst and Young, PriceWaterhouseCoopers and several other smaller tier sponsors.

8. How many persons are expected to attend? 540
9. Demographics of expected attendees (*e.g.*, lawyers; law enforcement; business leaders; students; etc.) Professional members of the community including bankers, lawyers, accountants, physicians, dentists and some students.
10. Are any elected officials expected to attend and, if so, what is their expected role? Minister of Defence is the keynote speaker. Other Ministers, MPs and MPPs will likely attend but are not being honoured.
11. Is there a fundraising component to the event? (*e.g.*, program advertisements; dinner ticket price; silent auction)? If so, please describe.¹ No
12. Expected role of U.S. Attorney (*e.g.*, simple attendance; remarks; speech). Speech when presented his award.
13. If the U.S. Attorney is being honored:
 - a. What are the criteria your organization applies to determine who should be honored for this specific award? Exemplary individuals that embody Sikh ideals and way of life.
 - b. What is the internal process by which your organization selects potential honorees? Nominations are put forth and screened by the Gala committee and then presented to the Board of Directors for approval.

¹ For events that involve any fundraising leading up to or during the event: The United States Attorney may not participate in any active fundraising activity with respect to the event. Nor may the U.S. Attorney allow his name, position, or title to be used for any fundraising activity for your organization. If applicable, you may list his name as an awardee and/or speaker on the program and on invitations issued not more than 30 days prior to the event, along with other information about the program. He may not be listed on any “save the date” notices. Failure to comply with these limitations may preclude the U.S. Attorney from appearing at the event. If the U.S. Attorney is giving an official speech, he may be seated and have photos taken as appropriate for a speaker at the event, but you may not use his photo in connection with any other fundraising efforts.

- c. For what specific public service or achievement do you wish to honor the U.S. Attorney? 'Seva' award for his accomplishments as U.S. Attorney and fight against crime/corruption.
- d. Did any of the accomplishments of the U.S. Attorney which you wish to honor directly affect the organization or its members? (Describe.) No
- e. Do any of the board members or host committee or senior staff have any matters pending before or involving the United States Attorney's Office for the Southern District of New York? No
- f. Please provide a description and the value of any award item (if the award item is a plaque, certificate or trophy of little intrinsic value, you may so indicate). Award valued at \$300.
- g. Who else is expected to be honored at the event? Minister of Defence, (b)(6), (b)(7)c Professor teaching Punjabi at the University of British Columbia), Seva Food Bank, others pending.

14. If the U.S. Attorney is making a presentation, who else is expected to be making a presentation/be on a panel? See above (13 g)

- a. Will the U.S. Attorney be presented with a token or memento in appreciation for his presentation?
 - i. ☐ Nothing
 - ii. ☐ Plaque or other memento of little intrinsic value - Yes
 - iii. ☐ Other. Please describe, including its value.

15. Describe any special seating arrangements for U.S. Attorney, *e.g.*, dais, general seating (depending on the nature of the event, the U.S. Attorney may or may not be able to accept "dais" seating.) He will be seated at a table with a Board member to host him.

16. Promotion of the event: please provide a copy of or link to the invitation/promotional material for the event (or if not available, for the prior year's event). Information can be found at www.sikhfoundationcanada.com